

04-12-01

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 j1060 U.S. PTO 04/11/01	<p><b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <b>(Large Entity)</b></p> <p><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i></p>	<p>Docket No. END920000156US1</p> <p>Total Pages in this Submission 4</p>
<b>TO THE ASSISTANT COMMISSIONER FOR PATENTS</b> <b>Box Patent Application</b> <b>Washington, D.C. 20231</b>		
<p>Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:</p> <p><b>SYSTEM AND METHOD FOR IDENTIFYING INVOICES THAT MAY BE DUPLICATE PRIOR TO PAYMENT</b></p> <p>and invented by:</p> <p>W. Dwight Calkins          Ruth A. Donnelly          Julie M. Murphy          Joseph W. Vanlone</p>		
 jc997 U.S. PTO 09/832572 04/11/01		
<p>If a <b>CONTINUATION APPLICATION</b>, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation   <input type="checkbox"/> Divisional   <input type="checkbox"/> Continuation-in-part (CIP)   of prior application No.: _____</p> <p>Which is a:</p> <p><input type="checkbox"/> Continuation   <input type="checkbox"/> Divisional   <input type="checkbox"/> Continuation-in-part (CIP)   of prior application No.: _____</p> <p>Which is a:</p> <p><input type="checkbox"/> Continuation   <input type="checkbox"/> Divisional   <input type="checkbox"/> Continuation-in-part (CIP)   of prior application No.: _____</p> <p>Enclosed are:</p> <p style="text-align: center;"><b>Application Elements</b></p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> Filing fee as calculated and transmitted as described below</li> <li>2. <input checked="" type="checkbox"/> Specification having <u>51</u> pages and including the following:       <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Descriptive Title of the Invention</li> <li>b. <input type="checkbox"/> Cross References to Related Applications (<i>if applicable</i>)</li> <li>c. <input type="checkbox"/> Statement Regarding Federally-sponsored Research/Development (<i>if applicable</i>)</li> <li>d. <input type="checkbox"/> Reference to Microfiche Appendix (<i>if applicable</i>)</li> <li>e. <input checked="" type="checkbox"/> Background of the Invention</li> <li>f. <input checked="" type="checkbox"/> Brief Summary of the Invention</li> <li>g. <input checked="" type="checkbox"/> Brief Description of the Drawings (<i>if drawings filed</i>)</li> <li>h. <input checked="" type="checkbox"/> Detailed Description</li> <li>i. <input checked="" type="checkbox"/> Claim(s) as Classified Below</li> <li>j. <input checked="" type="checkbox"/> Abstract of the Disclosure</li> </ol> </li> </ol>		

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
END920000156US1

Total Pages in this Submission  
4

## Application Elements (Continued)

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)
  - a.  Formal Number of Sheets \_\_\_\_\_
  - b.  Informal Number of Sheets 5
4.  Oath or Declaration
  - a.  Newly executed (*original or copy*)  Unexecuted
  - b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
  - c.  With Power of Attorney  Without Power of Attorney
  - d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Computer Program in Microfiche (*Appendix*)
7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
  - a.  Paper Copy
  - b.  Computer Readable Copy (*identical to computer copy*)
  - c.  Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8.  Assignment Papers (*cover sheet & document(s)*)
9.  37 CFR 3.73(B) Statement (*when there is an assignee*)
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Acknowledgment postcard
14.  Certificate of Mailing

First Class  Express Mail (*Specify Label No.:*) EL598672925US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
END920000156US1

Total Pages in this Submission  
4

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16.  Additional Enclosures *(please identify below):*

Assignee: International Business Machines Corporation  
Armonk, NY 10504

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*

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**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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 END920000156US1

Total Pages in this Submission  
 4

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	37	- 20 =	17	x \$18.00	\$306.00
<b>Indep. Claims</b>	10	- 3 =	7	x \$80.00	\$560.00
<b>Multiple Dependent Claims (check if applicable)</b>	<input type="checkbox"/>				\$0.00
				<b>BASIC FEE</b>	\$710.00
<b>OTHER FEE (specify purpose)</b>	<hr/>				\$0.00
					<b>TOTAL FILING FEE</b> \$1,576.00

A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. **09-0457** as described below. A duplicate copy of this sheet is enclosed.

- Charge the amount of **\$1,576.00** as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
*John R. Pivnichny*  
Signature

John R. Pivnichny, Reg. No: 43,001  
 IBM Corporation, N50/040-4  
 1701 North Street  
 Endicott, NY 13760

Dated: **04/11/01**

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **W. D. CALKINS ET AL**  
Serial No.: **N/A** Group No.: **N/A**  
Filed: **HEREWITH** Examiner: **N/A**  
For: **SYSTEM AND METHOD FOR IDENTIFYING INVOICES THAT MAY BE  
DUPLICATE PRIOR TO PAYMENT**

Assistant Commissioner For Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EL598672925US

Date of Deposit: 04/11/01

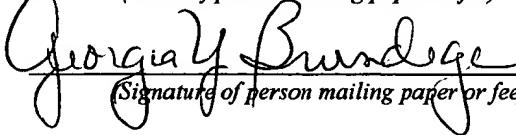
I hereby certify that the following attached paper or fee

New Utility Patent Application Transmittal  
Specification: 51 pages (including claims)  
Declaration and Power of Attorney  
Drawings (5 sheets)  
Express Mail Certificate  
Information Disclosure Statement  
PTO Form 1449 (revised) with copies of art references  
Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Georgia Y. Brundage

(Name of person mailing paper or fee)

  
Georgia Y. Brundage

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NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g., complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.